

Electronic Acknowledgement Receipt

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Application Number:	10615796
International Application Number:	
Confirmation Number:	1916
Title of Invention:	Methods and apparatus relating to the formulation and trading of investment contracts
First Named Inventor/Applicant Name:	Ian Kenneth Shepherd
Customer Number:	26111
Filer:	Robert E. Sokohl
Filer Authorized By:	
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	21510050002TL.PDF	47708 <small>1e261332e93688d2d870b7c25e81acc 1ef963a4b</small>	no	1

Warnings:

Information:					
2	Applicant Response to Pre-Exam Formalities Notice	21510050002SECONDREPLY.PDF	87831	no	4
			e21f5cf5c1070db4e5c0b5584f1f15f71e1e23bc		
Warnings:					
Information:					
3		21510050002SUBSTITUTE SPEC CLEANVERSION.PDF	1488390	yes	34
			85a815d30e13b7f8eab3c74467c4bf57ba9d0383		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Specification		1	26	
	Claims		27	33	
	Abstract		34	34	
Warnings:					
Information:					
4		21510050002SUBSTITUTE SPEC MARKEDUPVERSION .PDF	1306776	yes	34
			5fce8afd4e83c618187898f18630c45946b889a6		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Specification		1	26	
	Claims		27	33	
	Abstract		34	34	
Warnings:					
Information:					
Total Files Size (in bytes):			2930705		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.